

Determination of rutile transition metal oxide (110) surface terminations by scanning tunneling microscopy contrast reversal

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The surfaces of rutile transition-metal oxides (TMO₂) are widely investigated for catalysis, photoelectrochemical solar cells, memristors, and supercapacitors, but their structures have remained controversial. Here we employ density functional theory to predict that a universal behavior of metallic TMO₂ surfaces, i.e., the stoichiometric TMO₂ surfaces, exhibit a contrast reversal in simulated scanning tunneling microscopy (STM) images at different scanning biases. The predictions are verified by experimental STM imaging of RuO₂(110) surfaces and this feature is shown to enable accurate determinations of the TMO₂(110) surface structures under various conditions. This work provides different insights into the electronic properties of TMO₂(110) surfaces and offers an effective method to directly map the surface structure and point defects using bias-dependent STM.

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I. INTRODUCTION

Rutile transition-metal oxides (TMO₂), where TM = Ti, Cr, Nb, Tc, Ru, Rh, Pd, Re, Os, Ir, are investigated widely for both fundamental physics and practical applications in the fields of photocatalytic water splitting [1], fuel oxidation [2–4], supercapacitors [5,6], magnetic sensors [7,8], and nonvolatile ferroelectric random-access memories [9]. Knowing the surface structure is important even for the study of bulk electronic properties, such as the Dirac Nodal Lines in RuO₂ [10–12], using angle-resolved photoemission spectroscopy, as any surface states also detected in this inherently surface sensitive measurement method need to be identified. Since most properties and functionalities are determined by the surface transition metal and oxygen atoms with incomplete coordination, the prevalent low-energy (110) surface structures have been studied extensively [13–20]. For example, it has been well-established that the TiO₂(110) surface exhibits a bulk-terminated stoichiometric surface structure where half of the Ti atoms are coordinatively unsaturated (*cus*) [same as Fig. 1(a)]. However, no general consensus has been reached yet on the atomic terminations and stoichiometry of many other TMO₂(110) surfaces. For example, the RuO₂(110) surface is sensitive to growth and annealing

conditions. Most works suggest that it exhibits a stoichiometric surface structure similar to TiO₂ at oxygen-deficient and/or high-temperature conditions and an oxidized structure [Fig. 1(b)] at oxygen-rich and/or low-temperature conditions [17–22]. Definitive proof has been lacking as x-ray photoelectron spectroscopy has provided limited information on the two structures and scanning tunneling microscopy (STM) images have not been able to distinguish between the two since they exhibit the same pattern and periodicity [17–20], with the actual surface structure left “hidden from the eye of the experimentalist” [23]. Moreover, other phase diagrams and surface structures were recently predicted theoretically, leaving open the question which surface structure is stable at ambient conditions [23–26].

In this paper, we combine density functional theory (DFT) and bias-dependent STM to resolve the distinct surface structures of RuO₂ under different conditions and then extend the theoretical work to demonstrate that the structure of TMO₂(110) (TM = Cr, Nb, Tc, Ru, Rh, Pd, Re, Os, Ir) surfaces can be determined by bias-dependent STM measurements. The key that brings understanding of these surfaces is a feature predicted by DFT calculations and image simulations: a universal contrast reversal that appears at a certain bias for atomic structures common on TMO₂(110) surfaces. The contrast reversal results from a competition between the geometric topography of the surface and the empty-state long-range spatial decay of *d* orbitals of the surface metal atoms [27–29]. The onset bias for the contrast reversal varies in different TMO₂ materials and surface structures. This unique feature is absent in the widely studied and well-understood

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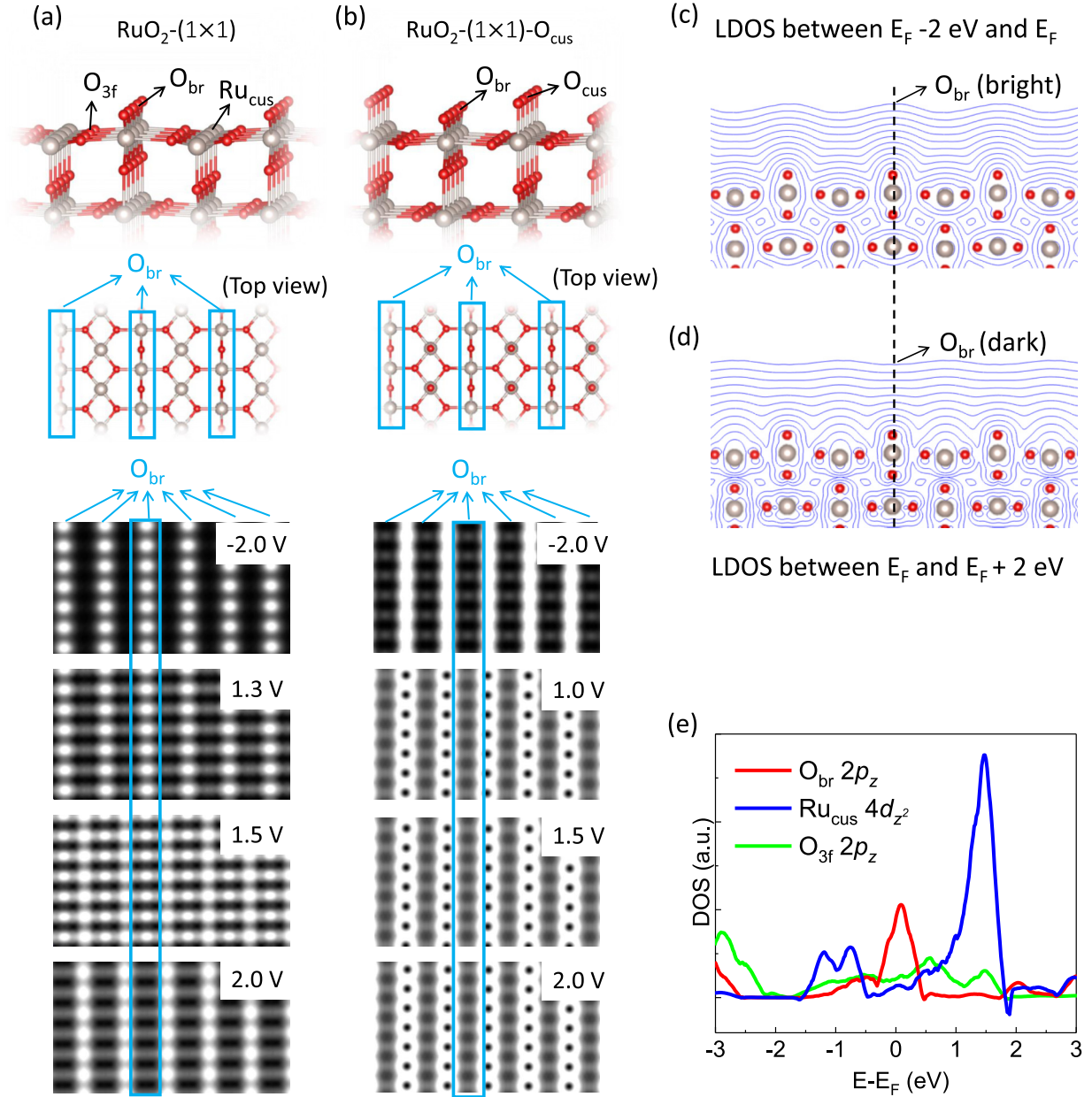


FIG. 1. First-principles predicted bias-dependent STM images, charge densities, and density of states of two possible RuO₂(110) surfaces. (a) RuO₂-(1 × 1) surface. (b) RuO₂-(1 × 1)-O_{cus} surface. (c), (d), Contours showing the geometric progression of charge density for the RuO₂-(1 × 1) surface, with a factor of 3.16 separating neighboring contours. (c) Charge densities associated with electron states from E_F-2eV to E_F. (d) Charge densities associated with electron states from E_F to E_F + 2eV. (e) Site-projected density of states (pDOS) on the RuO₂-(1 × 1) surface atoms.

TiO₂(110) surface. To test the theoretical predictions, we conducted extensive STM imaging on RuO₂(110) films, which validate the predictions and clear the persisting controversies. The results show that as-grown and 653-K-annealed RuO₂(110) films feature a stoichiometric RuO₂ termination, while films grown and cooled in oxygen-rich conditions feature an oxidized termination without surface reconstruction, providing a critical evaluation of theoretical predictions [23,26,30–32]. We also demonstrate that the present method, i.e., bias-dependent STM measurements, provides an effective way to directly characterize surface point defects, such as bridging-oxygen vacancies on stoichiometric RuO₂-(1 × 1)

surfaces [17–20], which play a role in the catalytic reactivity of TMO₂ surfaces. We expect that the bias-dependent contrast reversal is common for transition metal oxide surfaces featuring exposed *d*-orbitals, and can be extended to other material surfaces in the future for broader understanding.

II. METHODS

DFT calculations were carried out using the Vienna *Ab initio* Simulation Package (VASP) [33] with the projector augmented wave method and the generalized gradient approximation of Perdew, Burke, and Ernzerhof [34] for the

electronic exchange-correlation functional. The plane-wave energy cutoff is 500 eV. The films are built by using $4 \times 4 \times 3$ unit cells with a vacuum height of 18 Å separating the surfaces. The stoichiometric and oxygen-rich films contain 288 and 240 atoms, respectively. The total energy convergence threshold of the supercells is 1×10^{-6} eV. The lattices are relaxed with a criterion of 10^{-3} eV Å⁻¹ for the forces on each atom. The electronic $\mathbf{k}$ mesh is taken as $4 \times 4 \times 1$.

The STM images are simulated by using a constant-height method. The STM image at a bias of U is simulated by the partial charge density contrast in the electron energy range of $0 < E - E_F < U$ (if $U > 0$) or $U < E - E_F < 0$ (if $U < 0$) at a height of around 5 Å above surface TM metal atoms. The heights for different metals may differ slightly. For each material, we fix the height for various biases. We find that a change as large as 20% of the height does not change the results. In case of a band gap (e.g., TiO₂ in this work), the Fermi level is taken as the top of valence band. We acknowledge that in experiments the Fermi level of TiO₂ depends on doping, and the bias needs to be shifted by a constant to compare with our DFT simulation results.

Single crystalline RuO₂ films were grown epitaxially on rutile TiO₂(110) substrates in an molecular beam epitaxy (MBE) chamber with a base pressure of 1.0×10^{-10} mbar, equipped with a laser heater for substrate heating, as well as with reflection high energy electron diffraction (RHEED). Ruthenium was deposited by physical vapor deposition using a well-outgassed electron beam evaporator. A ruthenium rod with 99.9% purity was chose as source. The growth temperature was monitored with an infrared pyrometer calibrated using a thermocouple mounted on a sacrificial substrate surface. Prior to growth, the (110) substrates (5 mm × 5 mm × 0.5 mm, CrysTec, one side polished, miscut angle <0.5°) were treated as described in Ref. [35], until an atomically flat step-and-terrace morphology was confirmed by ambient atomic force microscopy (AFM). After transfer to the MBE chamber, the TiO₂(110) substrates were outgassed at ~400 °C until the base pressure was recovered. During growth, the O₂ pressure was kept below 6.0×10^{-6} mbar. After growth, the gas was pumped out and the film was naturally cooled down to room temperature in UHV. Sample 2 was prepared at 380 °C with O₂ pressure of 1.5×10^{-5} mbar. The O₂ gas was not pumped out until the sample was cooled down to room temperature naturally. Sample 2 was further annealed in UHV at 653 K for 30 minutes after characterization, and labeled as annealed sample 2 thereafter.

The crystal structure of the prepared RuO₂ thin film was analyzed by x-ray diffraction (XRD) (PANalytical). The x-ray diffractometer is equipped with a Cu-Kα x-ray source and mirror ($\lambda = 1.5406$ Å), which was operated at 45 kV and 40 mA. We recorded θ - 2θ scans with a step size of 0.02° per second in a range of $2\theta = 10^\circ$ - 90° . To eliminate the strain effect, we grow the film with thickness up to 80 nm, determined from the period of intensity oscillation in RHEED. The film crystallography is examined by θ - 2θ scans and reciprocal space mapping (RSM) [36]. The θ - 2θ scan reveals that the substrate (110) diffraction is accompanied by a shouldered peak at 27.9° , which is assigned to rutile RuO₂(110). The film peak is clearly separated from substrate both in horizontal and vertical directions in RSM images around (221) and

(402) diffractions. The a and c lattice parameters of the film are determined as 4.511 ± 0.005 Å and 3.078 ± 0.005 Å, respectively. The as-prepared film can be considered as fully relaxed, and the subtle residual stress (if comparing to bulk lattice parameter) is probably due to thermal mismatch of the film and substrate.

STM experiments were performed in a separate UHV chamber equipped with an Omicron variable temperature STM that is connected to the MBE chamber. This setup facilitates an *in situ* STM measurement on as-grown films without exposure to the ambient. All STM images presented in this paper were taken at room temperature in the constant current mode. All experimental data described here were obtained from RuO₂ films whose structure was fully relaxed from the epitaxial lattice strain due to the lattice mismatch between the TiO₂ substrate and the RuO₂ film [36].

III. RESULTS

We take RuO₂ as the starting material in the TMO₂ group. In Figs. 1(a) and 1(b), we show schematic representations of the two most widely considered surface structures [17–20], the stoichiometric RuO₂-(1 × 1) and oxygen-rich RuO₂-(1 × 1)-O_{cus} structures, respectively. The structure in Fig. 1(a) is similar to that of the TiO₂(110) surface, leaving half of the surface Ru atoms coordinatively unsaturated, labeled Ru_{cus} [2]. The outermost O atoms are bridging atoms, labeled O_{br}, and the other O atoms are threefold, labeled O_{3f}. In Fig. 1(b), the Ru_{cus} atoms that are bare in Fig. 1(a) are now covered by O adatoms, labeled O_{cus}. Using first-principles simulations, the STM images of the two different surfaces are predicted and are shown below the schematics in Figs. 1(a) and 1(b). At any single bias, the two STM images exhibit the same dark-light pattern with the same periodicity, making it impossible to distinguish between the two structures. However, as the bias changes from -2 V to +2 V, the contrast of stoichiometric RuO₂-(1 × 1) surface flips while that of the oxygen-rich RuO₂-(1 × 1)-O_{cus} does not. This surprising difference offers a clear and strong method to determine the surface structures of RuO₂(110) in various oxygen pressures and temperatures. Note that the presence of such a contrast reversal on these surfaces has not been previously recognized. For example, on the surface of TiO₂(110), the bridging O_{br} rows always appear as dark stripes [37].

The contrast reversal in the stoichiometric RuO₂-(1 × 1) surface can be attributed to the interplay of the lattice topography and electronic-structure effects in the STM imaging process, as shown in Figs. 1(c) and 1(d). The STM probe with a bias of -2 V follows the contours of the occupied states in between -2 eV and the Fermi level ($E_F \equiv 0$), which is dominated by the lattice topography of the surface. In this case, bridging oxygen (O_{br}) rows appear as bright stripes in the STM images since they protrude from the surface plane by a significant distance of 1.20 Å. However, when the STM probe bias increases to +2 V, it measures the contours of the empty states in between E_F and +2 eV. In this case, Ru_{cus} rows appear as bright stripes instead, since their empty states extend further in space than those of O_{br}, i.e., the empty states of Ru_{cus} are delocalized in space. Since the in-plane components of orbitals decay much faster spatially than the

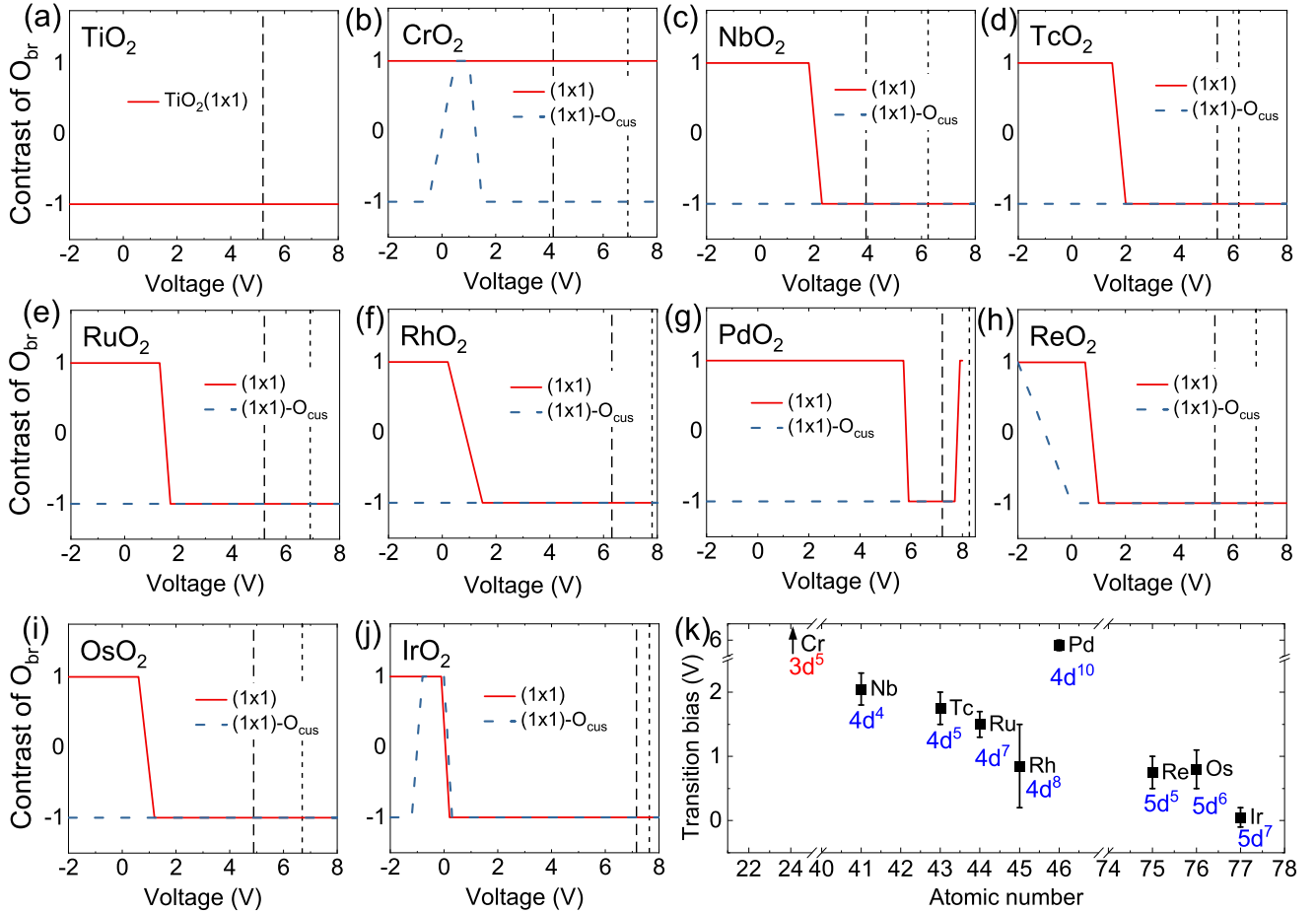


FIG. 2. First-principles predicted bias-dependent STM images of TMO₂(110) surfaces. +1 and −1 represent the relative bright and dark contrasts of the O_{br} rows in STM images, respectively. Red solid and blue dashed curves represent stoichiometric TMO₂-(1 × 1) and oxygen-rich (1 × 1)-O_{cus} surface structures respectively. TiO₂ is a semiconductor, and the other TMO₂ are metallic. According to Fig. 2(a), TiO₂ is already contrast reversed in the range of bias from −2 to 8 V. (k) summarizes the onset bias of the contrast reversal of TMO₂-(1 × 1) surfaces as a function of atomic number of TM. Since CrO₂-(1 × 1) does not show contrast reversal, the bias is labeled as an upper arrow in (k). As seen in panels (c)–(j), the contrast reversals are not vertical jumps. Instead, they have finite slopes, i.e., it takes some bias range for stripes to change from fully bright (dark) to fully dark (bright). Therefore, we use error bars in (k) to account for the bias ranges for contrast reversals to complete. The work functions of the stoichiometric TMO₂-(1 × 1) and oxygen-rich (1 × 1)-O_{cus} surface structures calculated by DFT are indicated as the dashed and dotted vertical lines in each panel, respectively. The value of TiO₂ is taken from Ref. [39]. Usually, the oxygen-rich surfaces have higher work functions.

out-of-plane (z) components in vacuum above E_F , such spatial delocalization is mainly due to the $4d_{z^2}$ orbital of Ru_{cus}. As shown in Fig. 1(e), the site-projected density of states (pDOS) of the surface O_{br} , O_{3f} , and Ru_{cus} are compared to each other. The $2p_z$ orbital of O_{3f} displays a broad and nearly featureless distribution, which is undetectable in STM. In contrast, O_{br} $2p_z$ and Ru_{cus} $4d_{z^2}$ orbitals have much higher DOS, and thus govern the patterns in STM. At small negative or small positive bias, O_{br} dominates over Ru_{cus} in both electronic states and geometrical height. In general, the geometric-height effect is dominant over electronic-state effect in STM, and O_{br} appears bright. At large positive bias, the Ru_{cus}'s $4d_{z^2}$ orbital dominates over the O_{br} $2p$ orbital despite the lower geometrical height. Therefore, the contrast reversal emerges from a subtle balance between the surface geometry and the energy-dependent DOS of the orbitals relevant for tunneling [38].

With the d_{z^2} orbital spatial delocalization and the resulting STM contrast reversal found in TMO₂-(1 × 1) we examine all the other rutile metallic TMO₂-(1 × 1) surfaces (TM = Cr, Nb, Tc, Rh, Pd, Re, Os, and Ir). It is found that all stoichiometric TMO₂-(1 × 1) surfaces studied in this work exhibit an STM contrast reversal at a certain bias voltage, except for TiO₂ and CrO₂ as shown in Fig. 2. The detailed bias-dependent STM images of the eight materials are shown in the Supplemental Material Figs. S1–S8 [36]. Figure 2(k) summarizes the reversal onset biases of stoichiometric TMO₂-(1 × 1) surfaces and a general decreasing trend of the onset bias with the atomic number is found. In Fig. 2(k), we do not show TiO₂ because it is a semiconductor and does not show a contrast reversal, while all the others are metallic. We note that PdO₂-(1 × 1) is an exception as its reversal bias, 6 V, is much higher than the others (0–2 V). It is clear that Pd

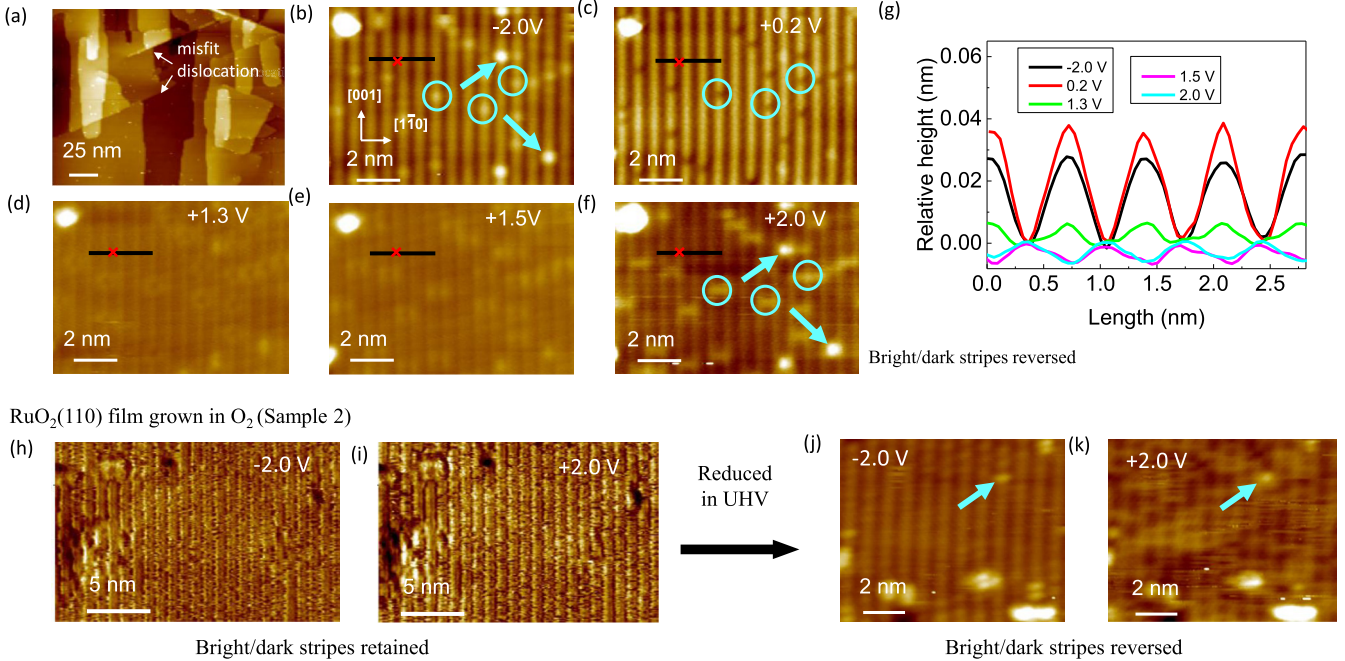
As-grown $\text{RuO}_2(110)$ film (Sample 1)

FIG. 3. Measured bias-dependent STM images of $\text{RuO}_2(110)$ films from -2 to $+2$ V. (a)–(f) As-grown $\text{RuO}_2(110)$ films cooled under vacuum from growth conditions. Red crosses are shown as reference points to guide eyes. The cyan arrows highlight defects which appear as bright spots for all biases and can be used as positional markers. The cyan circles highlight some defects which change contrast with changing biases. (g) Line profiles recorded perpendicular to the stripes at different bias voltages. (h),(i) $\text{RuO}_2(110)$ film grown and cooled in O_2 atmosphere. (j),(k), O_2 -grown $\text{RuO}_2(110)$ film annealed (reduced) in UHV.

does not obey the trend in Fig. 2(k). This might be a result of some complex interplay between various mechanisms that are captured by the DFT calculations.

To distinguish $\text{TMO}_2-(1 \times 1)$ from $\text{TMO}_2-(1 \times 1)-\text{O}_{\text{cus}}$, we have also simulated the bias-dependent STM contrast of $\text{TMO}_2-(1 \times 1)-\text{O}_{\text{cus}}$ surfaces, as compared in Fig. 2. Most $\text{TMO}_2-(1 \times 1)-\text{O}_{\text{cus}}$ surfaces do not show contrast reversal, as expected, since the surface TM atoms are all covered by O and the STM contrast is dominated by the lattice topography which does not depend on bias. Therefore, the outmost O_{cus} rows always show a dominance in STM images compared to the lower O_{br} rows. Interestingly, some exceptions are observed, i.e., the $\text{TMO}_2-(1 \times 1)-\text{O}_{\text{cus}}$ exhibits contrast reversal for TM = Cr, Re, and Ir. In these materials, the $2p_z$ pDOS of the O_{br} atoms are significantly higher than that of O_{cus} atoms, leading to a stronger spatial delocalization in certain energy windows near E_F (Figs. S9–S11 [36]). Nevertheless, these onset biases of $\text{TMO}_2-(1 \times 1)-\text{O}_{\text{cus}}$ are different from those of $\text{TMO}_2-(1 \times 1)$, except IrO_2 . In other words, for all TMO_2 except IrO_2 , the two surface structures exhibit distinct onset biases for contrast reversal while increasing the bias and, aided by simulations, can be distinguished in experimental bias-dependent STM images. For IrO_2 , the transition bias is different at -1 V, which can be used to distinguish the two surfaces if the STM reversal at -1 V can be observed experimentally. As most of the TMO_2 surface structures are still unexplored [7,9,30–32,40], we expect the present method will provide important guidance in the future.

To examine the first-principles predictions and clear the controversy about the surface structures of RuO_2 films under various conditions, we conduct experimental STM measurements on different $\text{RuO}_2(110)$ samples with various biases, which have not been reported before. In this work, different $\text{RuO}_2(110)$ samples are prepared by growing films at elevated temperature using MBE, followed by three different cool-down procedures.

The first sample is as-grown (see Methods for details) at 673 K with oxygen pressure of 6×10^{-6} mbar. After growth, the oxygen is evacuated, and the sample is cooled to room temperature in a vacuum environment of around 2×10^{-9} mbar. As shown in a wide-area STM image [Fig. 3(a)], the surface is atomically flat consisting of step and terrace features. The as-prepared film is fully relaxed. A high-resolution STM image, acquired on the terrace area, displays a well-ordered structure composed of alternating high and low stripes (chains) along the [001] direction (Fig. S13 [36]). The periodicities perpendicular and parallel to these stripes are 0.66 nm and 0.31 nm, respectively. These periodicities are consistent with a (1×1) unit cell and agree well with the XRD measurements for the in-plane parameters. A selected area is scrutinized using dual-bias mode scanning, with the tunneling current set at 500 pA. By switching the sign of the sample bias from positive to negative in the forward and backward scanlines, respectively, we obtained the bias-dependent STM images from -2 V to $+2$ V with a step size of 100 mV. Representative images are rearranged following the increasing of sample bias and shown in Figs. 3(b)–3(f)

(Fig. S14 [36] for full collection of images). All images feature alternating bright and dark stripes. The contrast reversal at +1.4 V observed in Fig. 3(f) demonstrates a precise experimental validation of the DFT predictions described in Fig. 1(a). This reversal can be seen clearly in the bias-dependent line profiles recorded perpendicular to the stripes shown in Fig. 3(g). The point defects, which appear as bright spots, are used as position markers which mark the relative position change of bright and dark stripes. We also note that the point defects are also observed to exhibit bias-dependent characteristics.

To further test the DFT predictions on the role of surface oxygen, a second sample is cooled in oxygen atmosphere after growth, instead of vacuum (see Methods). As shown in Figs. 3(h)–3(i), the STM images exhibit the same pattern and periodicity as found in Sample 1. However, they do not show contrast reversal from -2 to $+2$ V. Finally, the second sample was annealed in UHV at 653 K for 30 min [36]. Contrast reversal of the STM images with the same periodicity is observed again [Figs. 3(j)–3(k)]. These observations are consistent with the DFT results for $\text{RuO}_2-(1 \times 1)-\text{O}_{\text{cus}}$ and $\text{RuO}_2-(1 \times 1)$ structures while experimentally demonstrating oxygen termination control.

Combining with DFT predictions, we are able to identify the surface terminations of the three $\text{RuO}_2(110)$ films. Since the surface structure of RuO_2 can be changed under different environmental conditions (temperature and partial pressure of oxygen) [17–20], in addition to the stoichiometric $\text{RuO}_2-(1 \times 1)$ and oxidized $\text{RuO}_2-(1 \times 1)-\text{O}_{\text{cus}}$ structures discussed above, we need to consider two other plausible structures [23–25] as shown in Figs. 4(a) and 4(b), i.e., half-oxidized $\text{Ru}_8\text{O}_{17}-(1 \times 2)$ and reconstructed $\text{RuO}_4(2 \times 1)$ -tetrahedra surfaces, respectively. Based on DFT simulations, the first structure can be easily excluded since it shows a twofold periodicity that was not seen in experimental STM images. The second shows isolated spots in DFT simulations, which can also be excluded since STM images show stripes instead of spots. Therefore, we can conclude that the as-grown $\text{RuO}_2(110)$ film surface termination is the stoichiometric $\text{RuO}_2-(1 \times 1)$ structure and the films grown in oxygen-rich conditions have the oxidized $\text{RuO}_2-(1 \times 1)-\text{O}_{\text{cus}}$ structure. Annealing in UHV can reduce the oxidized $\text{RuO}_2-(1 \times 1)-\text{O}_{\text{cus}}$ to the stoichiometric $\text{RuO}_2-(1 \times 1)$ structure. These results can be further examined by other additional techniques such as quantitative low-energy electron diffraction [41], x-ray photoelectron diffraction [42], or noncontact AFM [43].

To ensure the absence of contamination or Ti/Ru mixing in the samples, we conducted scanning tunneling electron microscopy (STEM) measurements. The Z-contrast STEM images with high resolution (Fig. S16) show that the RuO_2 film is continuous, smooth, and that there is no appreciable intermixing of Ti and Ru. The atomic flatness on the surface is well conserved during specimen preparation, as seen in Fig. S16. The outmost Ru atoms align in the same fashion as those in bulk, which is consistent with the stoichiometric $\text{RuO}_2-(1 \times 1)$ termination. Here caution should be taken. The STEM experiment involves a capping process that may modify the surface structure, and thus STEM imaging is not to rule out possible reconstructions.

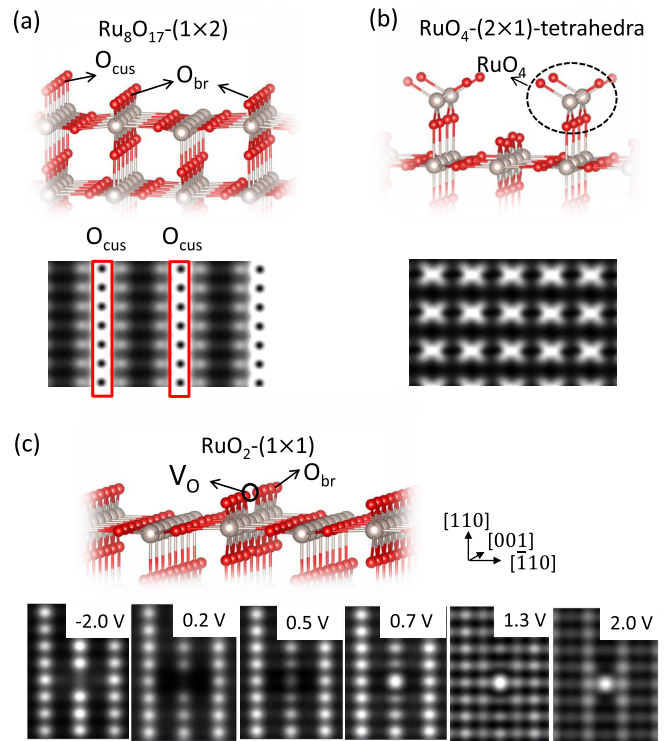


FIG. 4. First-principles calculated STM images of other RuO_2 surfaces. (a) STM image of the twofold $\text{Ru}_8\text{O}_{17}-(1 \times 2)$ surface. (b) STM image of a reconstructed, $\text{RuO}_4-(2 \times 1)$ -tetrahedra surface, in which RuO_2 clusters are adsorbed on top of the O_{cus} atoms. (c) The bias-dependent STM images of a bridging oxygen vacancy on the stoichiometric $\text{RuO}_2-(1 \times 1)$ surface.

The bias-dependent STM images can also facilitate the determination of surface point defects, which are important active sites for adsorption and dissociation reactions of, e.g., H_2O , O_2 , CO_2 , and carboxylic acid. Based on the STM images (Fig. 3), we find that the stoichiometric $\text{RuO}_2-(1 \times 1)$ surface has a significant number of point defects. Some representative defects are highlighted by the cyan arrows and circles. Those highlighted by circles change contrast with changing bias and represent the most common defects on the surface. The other defects, highlighted by arrows, show bright spots for all biases. The defects mentioned before, with bias-dependent appearance, are located on the bridging O (O_{br}) rows, and thus are probably oxygen vacancies (V_{O}), adsorbates, such as small molecules from residue gases, or RuO_4 as shown in Fig. 4(b). Oxygen vacancies are common oxygen-deficiency driven point defects in the transition-metal oxides [18,19,44,45]. To determine the defect types, we conducted bias-dependent simulations on V_{O} by removing a surface O_{br} atom in a supercell of $6 \times 8 \times 2$ unit cells. As shown in Fig. 4(c), V_{O} changes from dark to bright as the bias changes from 0.2 and 2 V, which agrees exactly with the experimental defects highlighted by circles in Fig. 3 (we also note that it appears as bright at -2 V mainly due to the neighboring two O_{br} atoms), indicating that the circled defects, the main defects on the surface, are most likely O vacancies at the bridge (O_{br}) sites. This observation is important for catalysis as these O_{br} atoms are the main source for

oxidization catalysis [17–20]. The other defects highlighted by cyan arrows are possibly interstitial Ru atoms on the O_{br} rows as they may protrude out and appear as bright spots for all biases. These defects may be further examined in the future.

Our results clearly reveal that despite having the same rutile crystal structure, the (110) surfaces of RuO_2 and TiO_2 are quite distinct in terms of stable surface structures as a function of oxygen chemical potential [24,46]. The stoichiometric-terminated structure is the stable termination for $TiO_2(110)$ in a wide range of oxygen pressures and temperatures [47,48]; Ti_{cus} atoms on the surface always appear as bright protrusions in STM images, and the oxygen vacancies are bright (invisible) at positive (negative) bias. O_{br} and Ru_{cus} atoms in a stoichiometric-truncated structure show contrast reversal at +1.3 V in STM images. Oxygen vacancies appear as dark depressions between −0.2 V and +1.3 V. Beyond this bias window, they appear as bright spots. These findings provide a practical guideline for characterizing the $RuO_2(110)$ samples and investigating the interaction between molecules and the surface.

We have also estimated whether the experimental conditions (temperature and pressure) are well inside the respective stability ranges of corresponding surface terminations, or near the border. To do this, we compare the surface energies of the stoichiometric $RuO_2-(1 \times 1)$ and $RuO_2-(1 \times 1)-O_{cus}$ terminations at the temperature and pressure conditions during the samples preparation, by using the DFT calculated results from Ref. [24], and see whether their energies differ largely or not. For Sample 1 that was prepared at 673 K with oxygen pressure at 6×10^{-6} mbar and cooled at 2×10^{-9} mbar, the surface energies of the stoichiometric $RuO_2-(1 \times 1)$ and $RuO_2-(1 \times 1)-O_{cus}$ terminations are about 77 and 90 meV/Å², respectively. So, the stoichiometric $RuO_2-(1 \times 1)$ termination is much more favored. For Sample 2 that was prepared and cooled to room temperature at oxygen pressure at 1.5×10^{-5} mbar, the surface energies of the stoichiometric $RuO_2-(1 \times 1)$ and $RuO_2-(1 \times 1)-O_{cus}$ terminations are about 77 and 60 meV/Å², respectively. In this case, the $RuO_2-(1 \times 1)-O_{cus}$ termination is much more favored. To

summarize, the experimental conditions are well inside the respective stability ranges of each surface terminations.

IV. CONCLUSIONS

In conclusion, we have demonstrated that the long-range spatial decay of TM d orbitals induce an STM contrast reversal for the TMO_2 surfaces at certain finite biases. This behavior can be utilized in characterizing the surface structures of TMO_2 films under various conditions. We also find that surface defects can present bias-dependent contrast reversal, which offers a method for mapping surface-defect types. Compared to energy spectroscopy methods that determine surface terminations by detecting the binding energy, the methods described in this work enable the direct mapping of real-space surface species, which will provide opportunities to correlate theoretically modeled predictions to real-world experimental observations.

DOE will provide public access to these results of federally sponsored research in accordance with the DOE Public Access Plan [49].

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The authors declare no competing financial interests.

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Supplementary Material

Determination of rutile transition metal oxide (110) surface terminations by scanning tunneling microscopy contrast reversal

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Note 1. STM of annealed Sample 2

Annealed Sample 2: As seen in Fig. S15, the surface undergoes morphological degradation after annealing at 380 °C for 30 minutes. Small holes have been generated on terraces and atom chains, indicated by yellow arrows in fig. 6a, can be found occasionally inside the holes and along step edges. The apparent depth of holes varies with typical values at 3.1 Å, 1.8 Å and 1.1 Å, indicating different layers beneath the surface plane have been exposed during decomposition. It has been reported that RuO₂(110) surface with adsorption of CO molecules can be reduced even at room temperature and holes also appear after UHV anneal to 600 K. However, those holes exhibit uniform depth of ~ 3.0 Å, contrasting with those observed in the present work. While it would be interesting to explore the thermodynamics and chemical activity of the produced holes and atom chains, it is beyond the scope of this paper. Zoomed-in STM images at -2.0 V and + 2.0 V show the reduced surface is composed of periodic bright and dark rows, similar to the ones shown in Fig. 3 **a-f**.

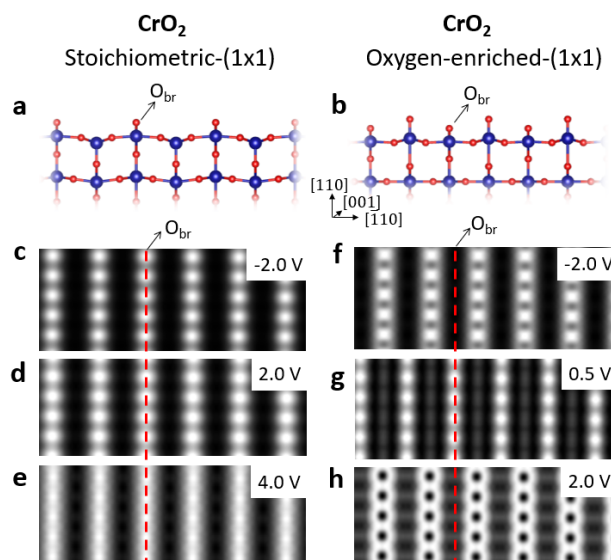


Figure S1 | DFT predicted STM images of rutile $\text{CrO}_2(110)$ film surfaces. **a**, DFT relaxed stoichiometric-(1x1) surface structure. **b**, DFT relaxed oxygen-enriched-(1x1) surface structure. **c,d,e**, DFT predicted STM images of the Stoichiometric-(1x1) $\text{CrO}_2(110)$ film surface at several bias voltages. **f,g,h**, DFT predicted STM images of the Oxygen-enriched-(1x1) $\text{CrO}_2(110)$ film surface at several bias voltages. The red dashed lines indicate the position of O_{br} chains.

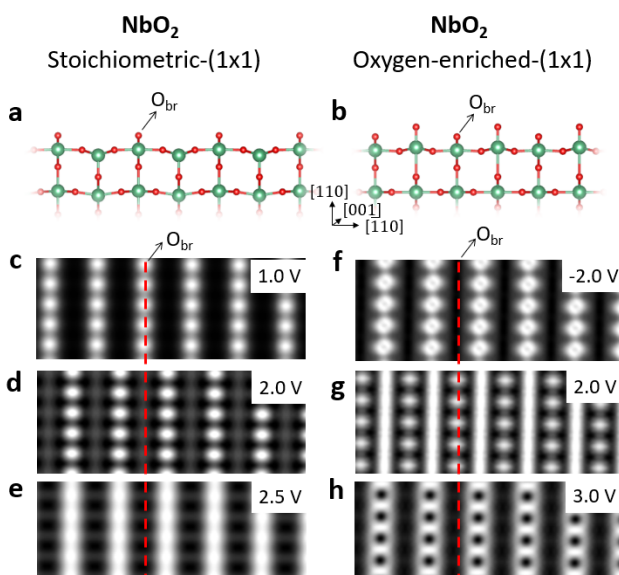


Figure S2 | DFT predicted STM images of rutile $\text{NbO}_2(110)$ film surfaces (same description as Fig. S1).

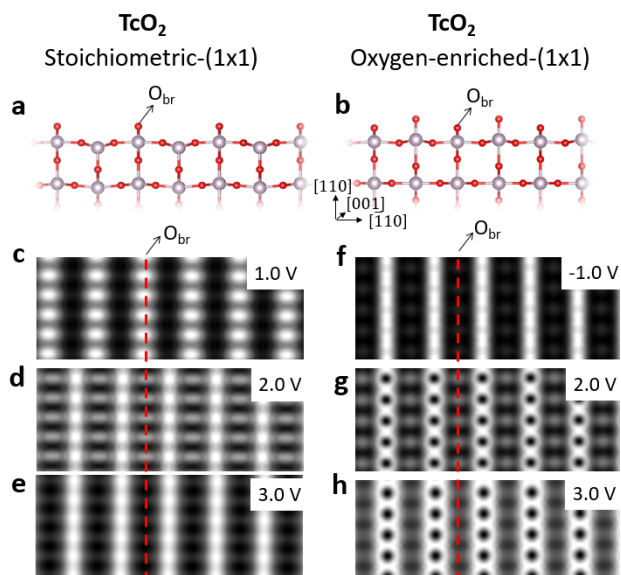


Figure S3 | DFT predicted STM images of rutile TcO₂(110) film surfaces (same description as Fig. S1).

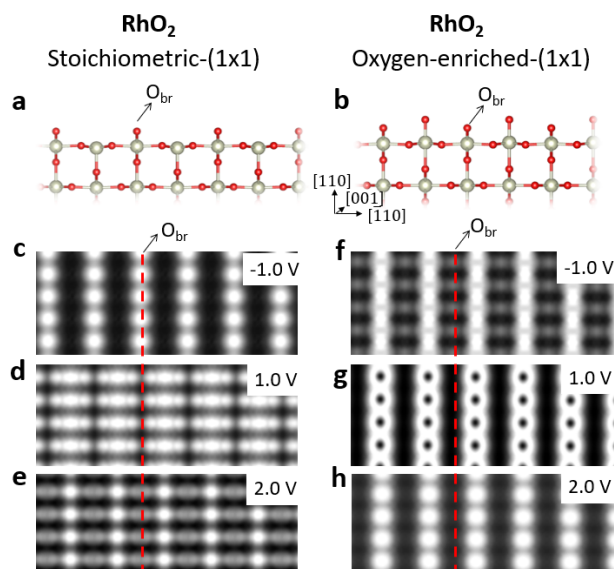


Figure S4 | DFT predicted STM images of rutile Rh(110) film surfaces (same description as Fig. S1).

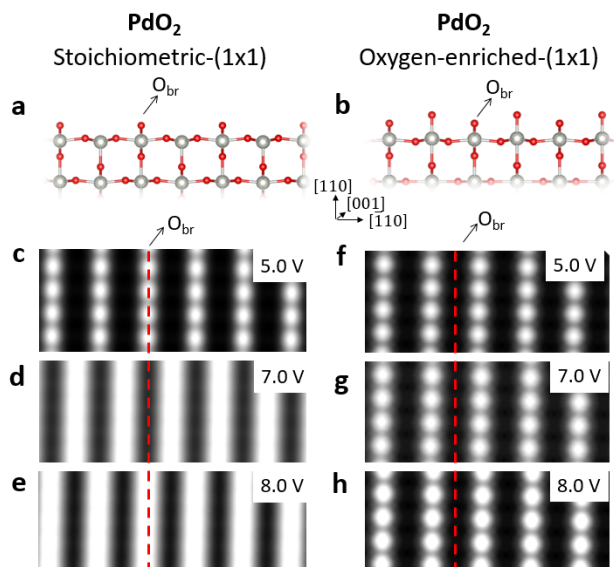


Figure S5 | DFT predicted STM images of rutile $\text{PdO}_2(110)$ film surfaces (same description as Fig. S1).

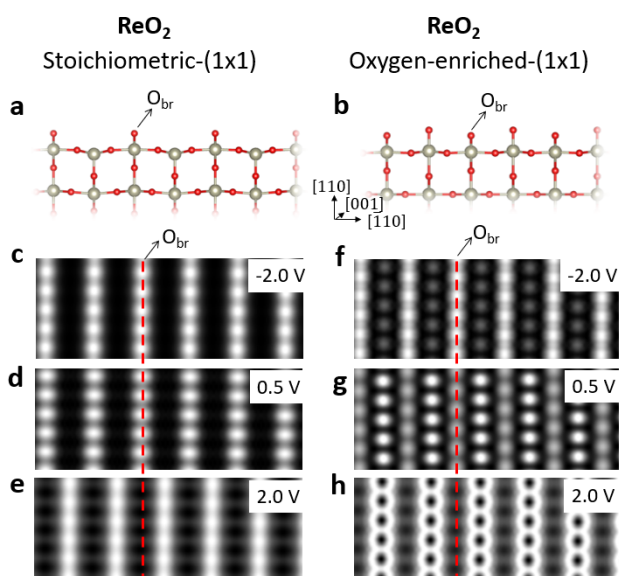


Figure S6 | DFT predicted STM images of rutile $\text{ReO}_2(110)$ film surfaces (same description as Fig. S1).

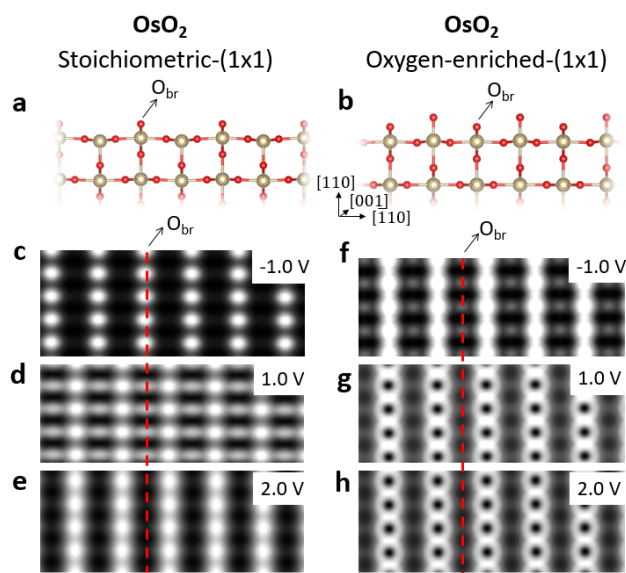


Figure S7 | DFT predicted STM images of rutile OsO₂(110) film surfaces (same description as Fig. S1).

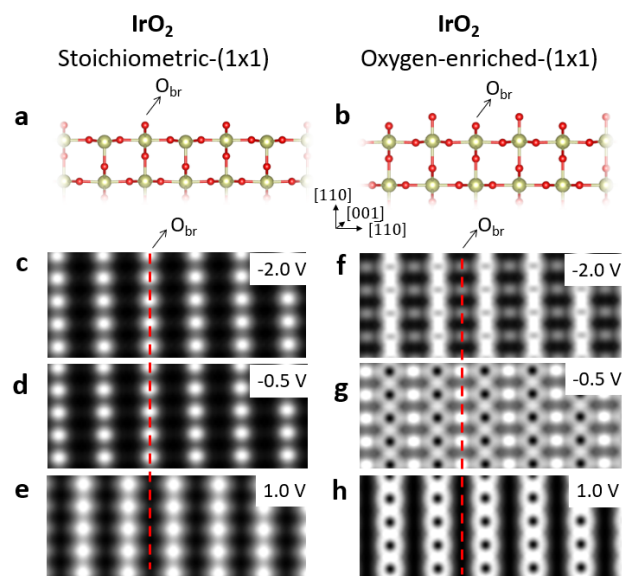


Figure S8 | DFT predicted STM images of rutile IrO₂(110) film surfaces (same description as Fig. S1).

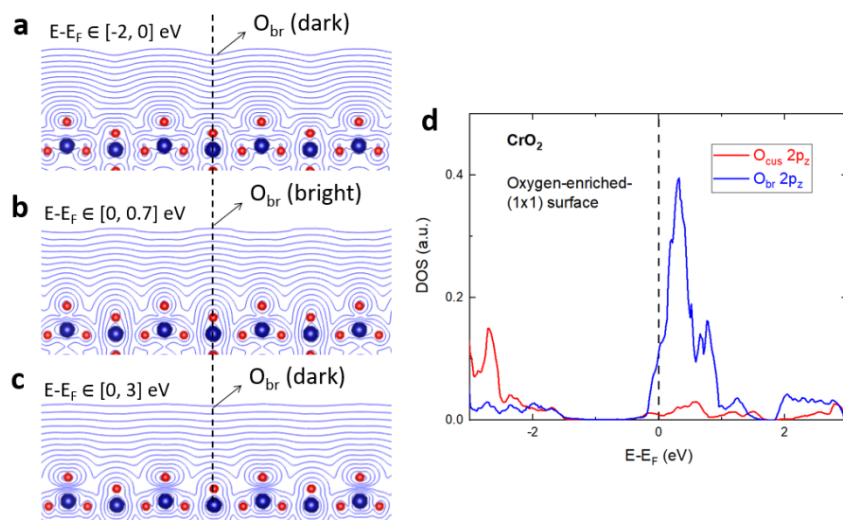


Figure S9 | DFT calculated charge density contours and density of states of the $\text{CrO}_2(1\times 1)\text{-O}_{\text{cus}}$ (oxygen-enriched) surface. a, b, c, Contours of the charge density of the states with energy in $(-2, 0)$ eV, $(0, 0.7)$ eV, and $(0, 3)$ eV, respectively. Neighboring contours are separated by a factor of 3.16. **d,** pDOS of the $2p_z$ orbits of the O_{br} and O_{cus} atoms.

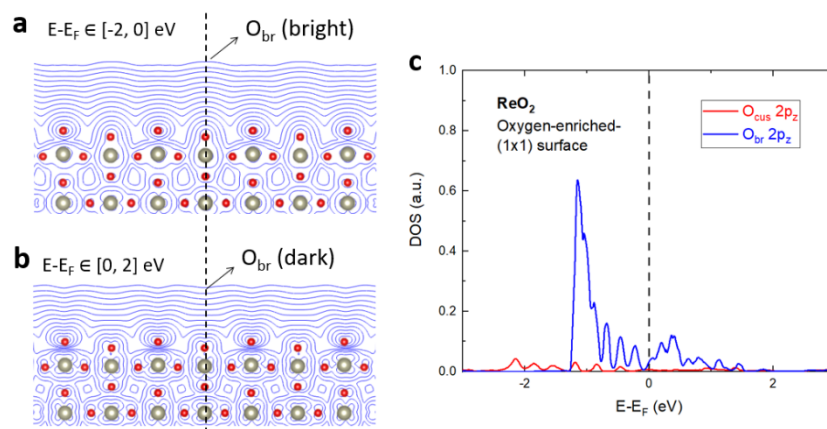


Figure S10 | DFT calculated charge density contours and density of states of the $\text{ReO}_2(1\times 1)\text{-O}_{\text{cus}}$ (oxygen-enriched) surface. a, b, Contours of the charge density of the states with energy in $(-2, 0)$ eV and $(0, 2)$ eV, respectively. Neighboring contours are separated by a factor of 3.16. **c,** pDOS of the $2p_z$ orbits of the O_{br} and O_{cus} atoms.

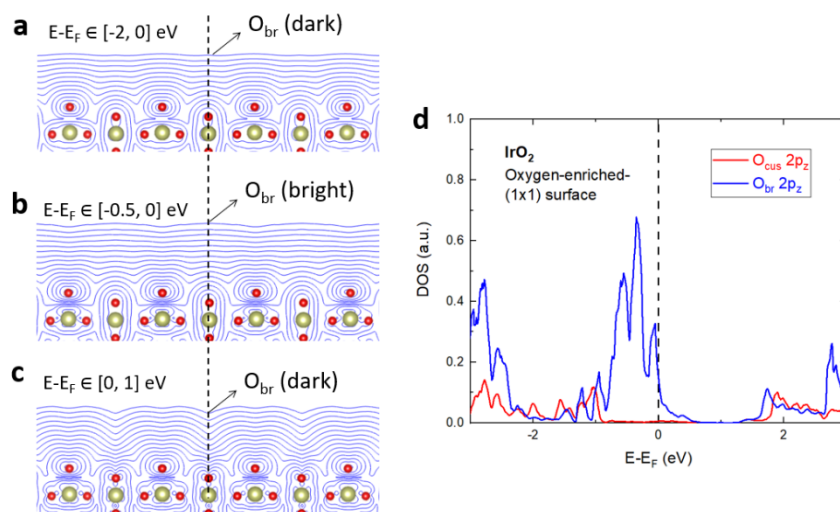


Figure S11 | DFT calculated charge density contours and density of states of the $\text{IrO}_2(1\times 1)\text{-O}_{\text{cus}}$ (oxygen-enriched) surface. a, b, c, Contours of the charge density of the states with energy in $(-2, 0)$ eV, $(-0.5, 0)$ eV, and $(0, 1)$ eV, respectively. Neighboring contours are separated by a factor of 3.16. d, pDOS of the $2p_z$ orbits of the O_{br} and O_{cus} atoms.

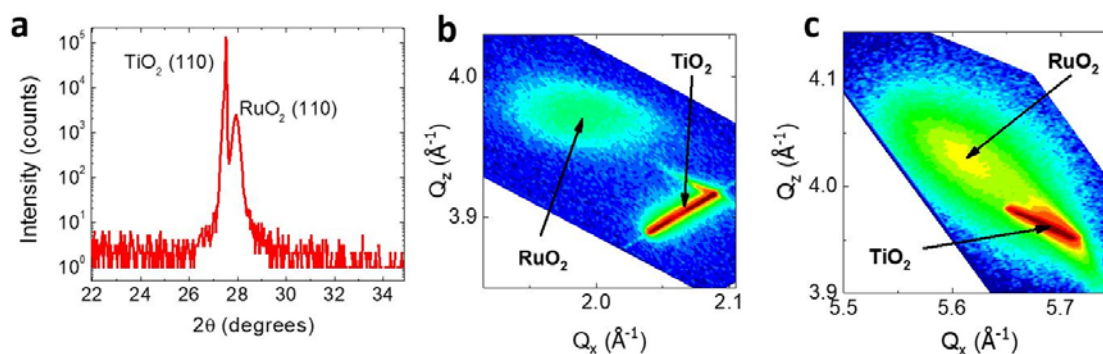


Figure S12 | XRD and reciprocal space mapping (RSM) of as-grown $\text{RuO}_2(110)$ films. (a) θ - 2θ scan around the (110) reflection of the $\text{RuO}_2(110)$ film. (b) and (c) RSMs measured around the (221) and (402) reflection, respectively.

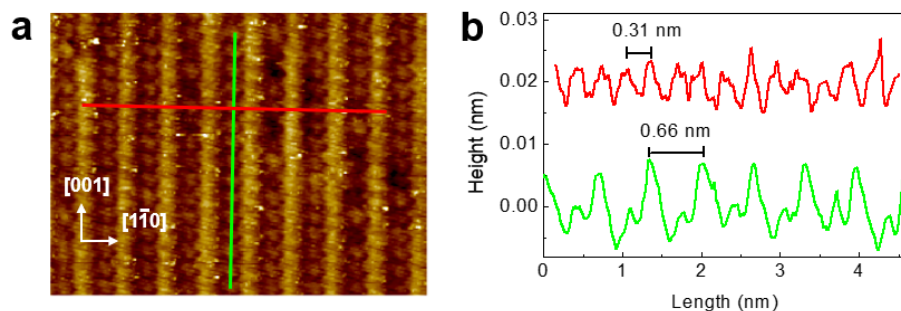


Figure S13 | STM images of the surface of as-grown RuO₂(110) film (naturally cooled to room temperature in UHV after growth). a, High resolution STM images. **b**, Line profile along the green and red lines. STM tunneling parameters are -1.0 V, 100 pA.

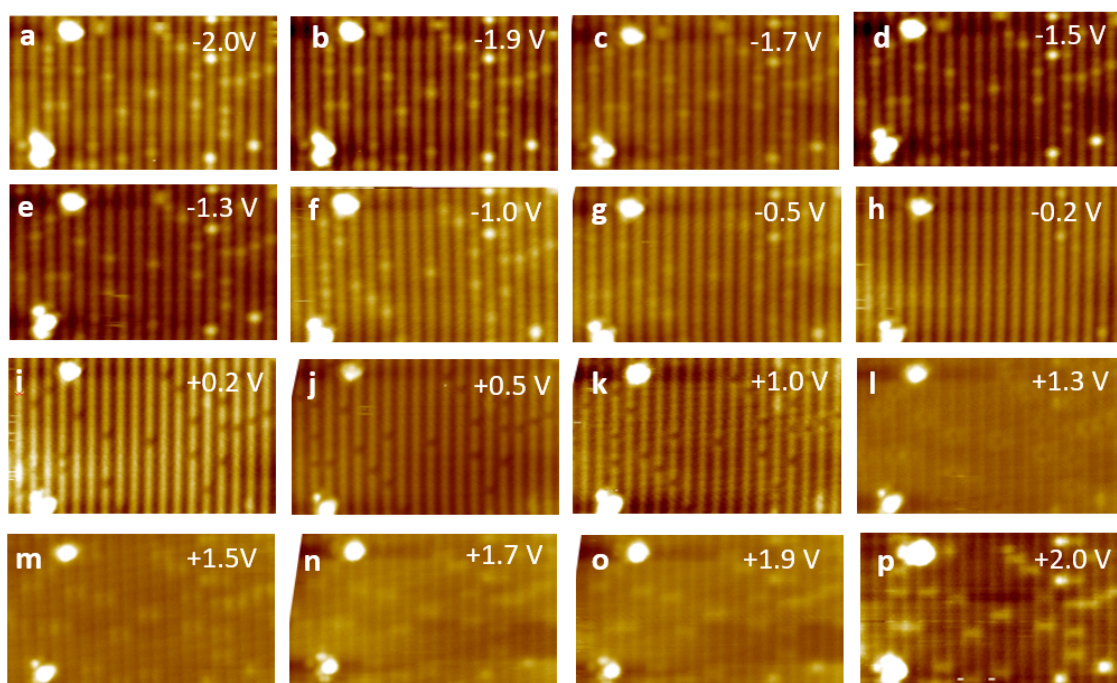


Figure S14 | Measured bias-dependent STM images of the as-grown RuO₂(110) film (Sample 1) at a series of sample biases.

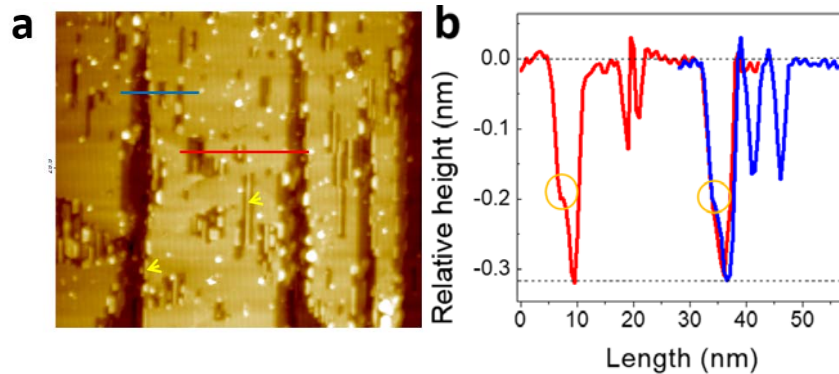


Figure S15 | Experimental STM image on the surface of annealed Sample 2. **a**, Large-area scan STM image. Yellow arrows indicate the atomic chains on the terrace and along the step edge. **b**, Line profiles of **a**. Figure b has shadow effect when imaging features that is deeper than 0.2 nm. The two kinks (indicated by orange circles) in line profiles are artificial and we didn't claim that holes with 0.2 nm depth exist. However, the line shape for the holes with depth round 0.18 and 0.11 nm is quite symmetric, and their depth is smaller than the difference in height of 0.2 nm between the primary and the secondary tip. As a result, only the primary tip is probing these holes, and no artifacts exist here.

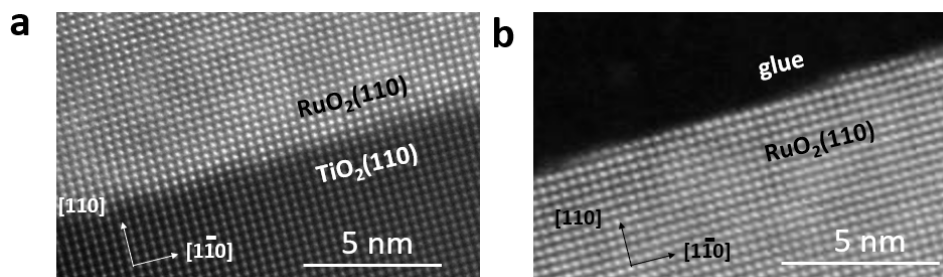


Figure S16 | Experimental STEM images of the $\text{RuO}_2(110)$ film surface cooled in O_2 after growth (Sample 2). Cross-sectional Z-contrast STEM images at the (a) interface and (b) film surface areas, respectively. High-angle annular dark-field (HAADF) scanning transmission electron microscopy (STEM) images were taken at 200 kV in a Nion UltraSTEM200 with a 30 mrad illumination half-angle and an inner detector half-angle of 65 mrad.